

PATENT

Customer Number: 22,852 Attorney Docket No. 03204.0063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2768

Examiner: Unassigned

RECEIVED

In re Application of:

Joseph A. GIORDANO

Application No.: 09/505,721

Filed: February 17, 2000

For: SYSTEM AND METHOD FOR

PROCESSING FINANCIAL

TRANSACTIONS

Assistant Commissioner for Patents Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached. English-language Abstracts are provided for the following documents:

- 1. JP 4024153
- FR 2600318
- EP 0 696 021 A2
- 4. EP 0 723 241 A1
- 5. EP 0 887 776 A1
- 6. EP 0 907 134 A1

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT,
8 DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

Attorney Docket No. 03204.0063 Serial No. 09/505,721

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: November 13, 2000

Leonard Smith, Jr.

Reg. No. 45,118

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
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Atty. Docket No. 03204.0063			Serial No.	09/505,721	Page	e 1 of 5	
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	3,536,109	12/18/67	Ginsburgh et al.			NOV NOV	
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	3,602,881	08/31/71	Bayne et al.				
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	4,325,146	04/13/82	Lennington				
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	4,395,627	07/26/83	Barker et al.				
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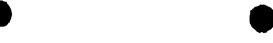
INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary) Atty. Docket No. 03204.0063 Serial No. 09/505,721 Page 2 of 5 Joseph A. GIORDANO Applicant 2768 Filing Date February 17, 2000 Group: U.S. PATENT DOCUMENTS Document Class Sub Filing Date Examiner Date Name A CEMANO Priate Number Class Initial* 4,614,945 09/30/86 Brunius et al. 04/14/87 Walsh et al. 4,658,371 4,691,202 09/01/87 Denne et al. 4,739,328 04/19/88 Koelle et al. П 01/17/89 Grindahl et al. 4,799,059 4,804,961 02/14/89 Hane 4,829,540 05/09/89 Waggener. Sr. et al. 4,862,162 08/29/89 Daley Bennett et al. 4,864,615 09/05/89 4,881,581 11/21/89 Hollerback 4,882,779 11/21/89 Rahtgen 4,912,471 03/27/90 Tyburski et al. 4,918,955 04/24/90 Kimura et al. 06/05/90 4,932,049 Lee 4,989,146 01/29/91 Imajo 5,047,613 09/10/91 Swegen et al. 06/23/92 5,124,697 Moore 5,144,312 09/01/92 McCann 09/01/92 Pogue, Jr. et al. 5,144,667 5,156,198 10/20/92 Hall 11/17/92 Nysen et al. 5,164,985 5,214,409 05/25/93 Beigel 5,257,011 10/26/93 Beigel 03/30/2008 Examiner Date Considered /Clement Graham/ *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	WO 98/10363	03/12/98	PCT		16			
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	WO 00/07121	02/10/00	PCT	E-A	(**)			
	WO 00/52655	09/08/00	PCT					
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	FR 2600318	06/18/86	France		Yes			
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	EP 0 723 241 A1	07/24/96	EPO		Yes			
	EP 0 725 376 A2	08/07/96	EPO					
	EP 0 887 776 A1	12/30/98	EPO		Yes			
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	3,527,268	07/26/67	Ginsburg			
	3,602,881	08/31/71	Bayne et al.		1	70
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***	5,310,999	05/10/94	Claus	et al.				
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	5,327,066	07/05/94	Smith	1				
	5,340,969	08/23/94	Cox					
	5,349,332	09/20/94	Fergu	ison et al.		•	70	
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	5,383,500	01/24/95	Dwar	s et al.				
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	5,493,315	05/20/96	Atchl	ey				
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	5,602,745	02/11/97	Atchley et al.			NOV 70C
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	5,638,875	06/17/97	Corfitsen			
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	WO 96/28791	09/19/96	PCT			
	WO 97/21626	06/19/97	PCT			
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	WO 00/46101	09/10/00	PCT	10 P	X		
	WO 00/07121	02/10/00	PCT				
	WO 00/52655	09/08/00	PCT				
	JP 04024153	01/28/92	Japan		Yes		
	FR 2600318	06/18/86	France		Yes		
	EP 0 696 021 A2	02/07/96	EPO		Yes		
	EP 0 723 241 A1	07/24/96	EPO		Yes		
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	EP 0 887 776 A1	12/30/98	EPO		Yes		
	EP 0 907 134 A1	04/07/99	EPO		Yes		
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